

# Nonlinear integral equations in the Uimin-Sutherland model

Jens Damerou

damerou@physik.uni-wuppertal.de



Bergische Universität Wuppertal

# Overview

---

## 1. Introduction

- Uimin-Sutherland model
- QTM approach to thermodynamics

## 2. Fusion hierarchy

- Fusion rules for  $sl(r|s)$ -symmetric models, the  $T$ -system
- Derivation of the  $Y$ -system

## 3. Example: $sl(2)$ case

- Exact truncation of the  $Y$ -system
- Derivation of nonlinear integral equations

## 4. Nonlinear integral equations in higher rank models

- Derivation of suitable auxiliary functions
- The  $sl(3)$ ,  $sl(4)$ ,  $sl(2|1)$  and  $sl(2|2)$  case

# Uimin-Sutherland model

- Category of models based on  $sl(r|s)$  Lie superalgebra:

$$\mathcal{H}_0 = \sum_{i=1}^L \pi_{i,i+1} \quad ; \quad \mathcal{H} = \mathcal{H}_0 - \sum_{i=1}^L \sum_{\alpha=1}^q \mu_{\alpha} n_{i,\alpha}$$

with graded permutation operator:

$$\pi_{i,i+1} |\alpha_1 \dots \alpha_i \alpha_{i+1} \dots \alpha_L\rangle = (-1)^{\xi_{\alpha_i \alpha_{i+1}}} |\alpha_1 \dots \alpha_{i+1} \alpha_i \dots \alpha_L\rangle$$

- Classical counterpart is the rational limit of the *Perk-Schultz model*:

$$R_{\alpha\beta}^{\mu\nu}(v) := \delta_{\alpha\nu} \delta_{\mu\beta} + v \cdot (-1)^{\xi_{\alpha\mu}} \cdot \delta_{\alpha\beta} \delta_{\mu\nu} = \begin{array}{c} \beta \\ \uparrow \\ \mu \text{---} v \text{---} \rightarrow \\ \downarrow \\ \alpha \end{array}$$

$$\mathcal{H}_0 = \left. \frac{d}{dv} \ln \mathcal{T}(v) \right|_{v=0} \quad \text{where} \quad \mathcal{T}_{\alpha}^{\beta}(v) := \sum_{\{\mu\}} \prod_{i=1}^L R_{\alpha_i \beta_i}^{\mu_i \mu_{i+1}}(v)$$

using the Yang-Baxter equation it follows that  $[\mathcal{T}(v), \mathcal{H}_0] = 0$  for all  $v \in \mathbb{C}$ .

# Uimin-Sutherland model

Some interesting models are of Uimin-Sutherland type:

- $sl(2)$  case:

isotropic spin-1/2 Heisenberg chain,  $\mathcal{H}_0 = \sum_{j=1}^L (2\mathbf{S}_j \mathbf{S}_{j+1} + \frac{1}{2})$

- $sl(3)$  case:

spin-1 chain with biquadratic term,  $\mathcal{H}_0 = \sum_{j=1}^L \{ \mathbf{S}_j \mathbf{S}_{j+1} + (\mathbf{S}_j \mathbf{S}_{j+1})^2 \}$

- $sl(4)$  case:

supersymmetric point of  $sl(2) \times sl(2)$  spin-orbital model,

$$\mathcal{H}_0 = \sum_{j=1}^L (2\mathbf{S}_j \mathbf{S}_{j+1} + \frac{1}{2})(2\boldsymbol{\tau}_j \boldsymbol{\tau}_{j+1} + \frac{1}{2})$$

- $sl(2|1)$  case:

supersymmetric  $t$ - $J$  model (where  $2t = J$ ),

$$\mathcal{H}_0 = -t \sum_{j,\sigma} \mathcal{P}(c_{j,\sigma}^\dagger c_{j+1,\sigma} + c_{j+1,\sigma}^\dagger c_{j,\sigma}) \mathcal{P} + J \sum_j (\mathbf{S}_j \mathbf{S}_{j+1} - n_j n_{j+1}/4)$$

- $sl(2|2)$  case: EKS model (introduced by Essler, Korepin & Schoutens, 92)

# QTM approach to thermodynamics

Goal: Calculation of the partition function  $Z = \text{Tr} e^{-\beta \mathcal{H}_0}$ .

Alternative transfer matrix with rotated vertex weights:

$$\overline{R}_{\alpha\beta}^{\mu\nu}(v) := R_{\nu\mu}^{\alpha\beta}(v) = \begin{array}{c} \beta \\ \uparrow \\ \mu \leftarrow v \rightarrow \nu \\ \downarrow \\ \alpha \end{array} ; \quad \overline{\mathcal{T}}_{\alpha}^{\beta}(v) := \sum_{\{\mu\}} \prod_{i=1}^L \overline{R}_{\alpha_i\beta_i}^{\mu_i\mu_{i+1}}(v)$$

Connection to the Uimin-Sutherland model:  $\mathcal{H}_0 = \left. \frac{d}{dv} \ln \overline{\mathcal{T}}(v) \right|_{v=0}$

Taylor expansion at  $v = 0$ :

$$\ln \mathcal{T}(v) = \ln \mathcal{T}(0) + \mathcal{H}_0 \cdot v + \mathcal{O}(v^2)$$

$$\ln \overline{\mathcal{T}}(v) = \ln \overline{\mathcal{T}}(0) + \mathcal{H}_0 \cdot v + \mathcal{O}(v^2)$$

$$\Rightarrow \mathcal{T}(v)\overline{\mathcal{T}}(v) = \underbrace{\mathcal{T}(0)\overline{\mathcal{T}}(0)}_{\text{shift operators}} e^{2v\mathcal{H}_0 + \mathcal{O}(v^2)} = e^{2v\mathcal{H}_0 + \mathcal{O}(v^2)}$$

# QTM approach to thermodynamics

- Evaluate last equation at  $u := -\beta/N$ :

$$\mathcal{T}(u)\overline{\mathcal{T}}(u) = e^{-\frac{2\beta}{N}\mathcal{H}_0 + \mathcal{O}((\beta/N)^2)}$$

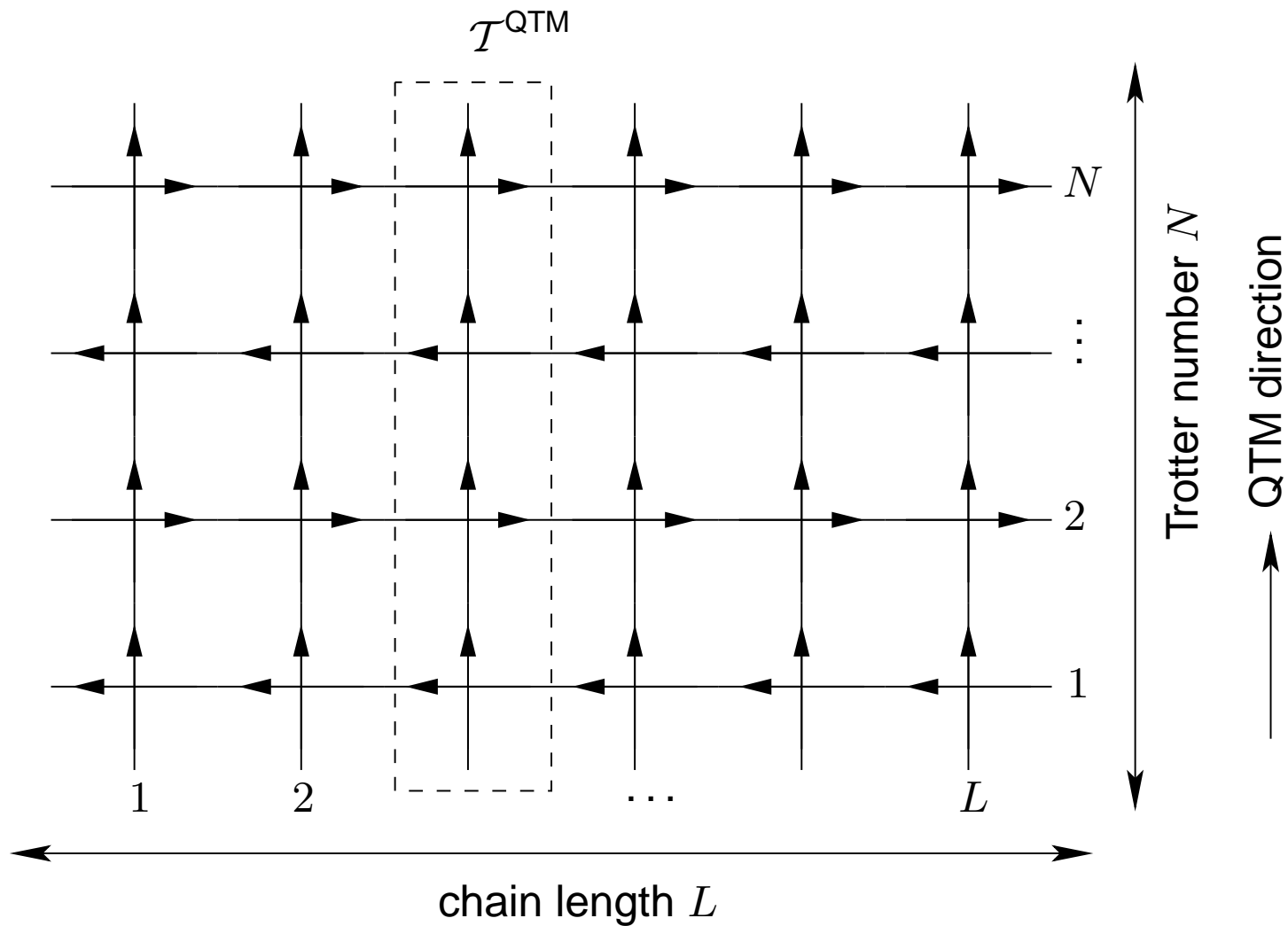
$$\begin{aligned} \Rightarrow \lim_{N \rightarrow \infty} \text{Tr} \left( \mathcal{T}(u)\overline{\mathcal{T}}(u) \right)^{N/2} &= \lim_{N \rightarrow \infty} \text{Tr} \left( e^{-\frac{2\beta}{N}\mathcal{H}_0 + \mathcal{O}((\beta/N)^2)} \right)^{N/2} \\ &= \text{Tr} e^{-\beta\mathcal{H}_0} = Z \end{aligned}$$

- Partition function of the Uimin-Sutherland model is equal to the partition function of a  $L \times N$  "staggered" Perk-Schultz vertex model in the limit of  $N$  (Trotter number) to infinity:

$$Z = \text{Tr} e^{-\beta\mathcal{H}_0} = \lim_{N \rightarrow \infty} Z_{L,N} \quad ; \quad Z_{L,N} := \text{Tr} \left( \mathcal{T}(u)\overline{\mathcal{T}}(u) \right)^{N/2}$$

- Problem:  $Z$  is still difficult to evaluate.

# QTM approach to thermodynamics

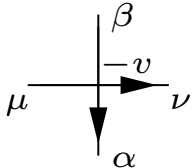


# QTM approach to thermodynamics

Suitable definition of the *quantum transfermatrix* (QTM):

$$\left(\mathcal{T}^{\text{QTM}}\right)_{\alpha}^{\beta}(v) := \sum_{\{\mu\}} \prod_{j=1}^{N/2} R_{\alpha_{2j-1}\beta_{2j-1}}^{\mu_{2j-1}\mu_{2j}}(iv+u) \tilde{R}_{\alpha_{2j}\beta_{2j}}^{\mu_{2j}\mu_{2j+1}}(iv-u)$$

$$\Rightarrow Z_{L,N} = \text{Tr} \left( \mathcal{T}(u) \overline{\mathcal{T}}(u) \right)^{N/2} = \text{Tr} \left( \mathcal{T}^{\text{QTM}}(0) \right)^L$$

• Rotated vertex weights  $\tilde{R}_{\alpha\beta}^{\mu\nu}(v) := R_{\mu\nu}^{\beta\alpha}(-v) =$  

• New spectral parameter  $v$  leads to commuting family of QTMs:

$$\left[ \mathcal{T}^{\text{QTM}}(v), \mathcal{T}^{\text{QTM}}(v') \right] = 0 \quad \text{for all } v, v' \in \mathbb{C}$$

• QTM shares the  $sl(r|s)$  symmetry of the Hamilton operator.



# QTM approach to thermodynamics

- Gap between largest and next leading eigenvalue of the QTM  
⇒ Thermodynamics in the limit  $L$  to infinity given by largest eigenvalue:

$$\begin{aligned} f &= - \lim_{L \rightarrow \infty} \frac{1}{L\beta} \ln Z = - \lim_{L \rightarrow \infty} \lim_{N \rightarrow \infty} \frac{1}{L\beta} \ln \text{Tr} \left( \mathcal{T}^{\text{QTM}}(0) \right)^L \\ &= - \frac{1}{\beta} \lim_{N \rightarrow \infty} \ln \Lambda_{\max}(0) \end{aligned}$$

- Next leading eigenvalues and eigenstates give correlation functions:

$$\langle \sigma_1 \sigma_{1+r} \rangle = \lim_{N \rightarrow \infty} \left\{ (\langle \Psi_0 | \sigma | \Psi_0 \rangle)^2 + \sum_{j=1}^{q^N - 1} \langle \Psi_0 | \sigma | \Psi_j \rangle \langle \Psi_j | \sigma | \Psi_0 \rangle \cdot e^{-r/\xi_j} \right\}$$

with correlation lengths:

$$\xi_j := \left\{ \ln \left( \frac{\Lambda_{\max}(0)}{\Lambda_j(0)} \right) \right\}^{-1}$$

# QTM approach to thermodynamics

- Diagonalisation of QTM by Bethe ansatz leads to:

$$\Lambda(v) = \sum_{j=1}^q \lambda_j(v) \quad \text{where} \quad \lambda_1(v) = \frac{q_1(v + i\epsilon_1)}{q_1(v)} \phi_+(v) \phi_-(v - i\epsilon_1) e^{\beta\mu_1}$$

$$\lambda_j(v) = \frac{q_{j-1}(v - i\epsilon_j)}{q_{j-1}(v)} \frac{q_j(v + i\epsilon_j)}{q_j(v)} \phi_+(v) \phi_-(v) e^{\beta\mu_j}$$

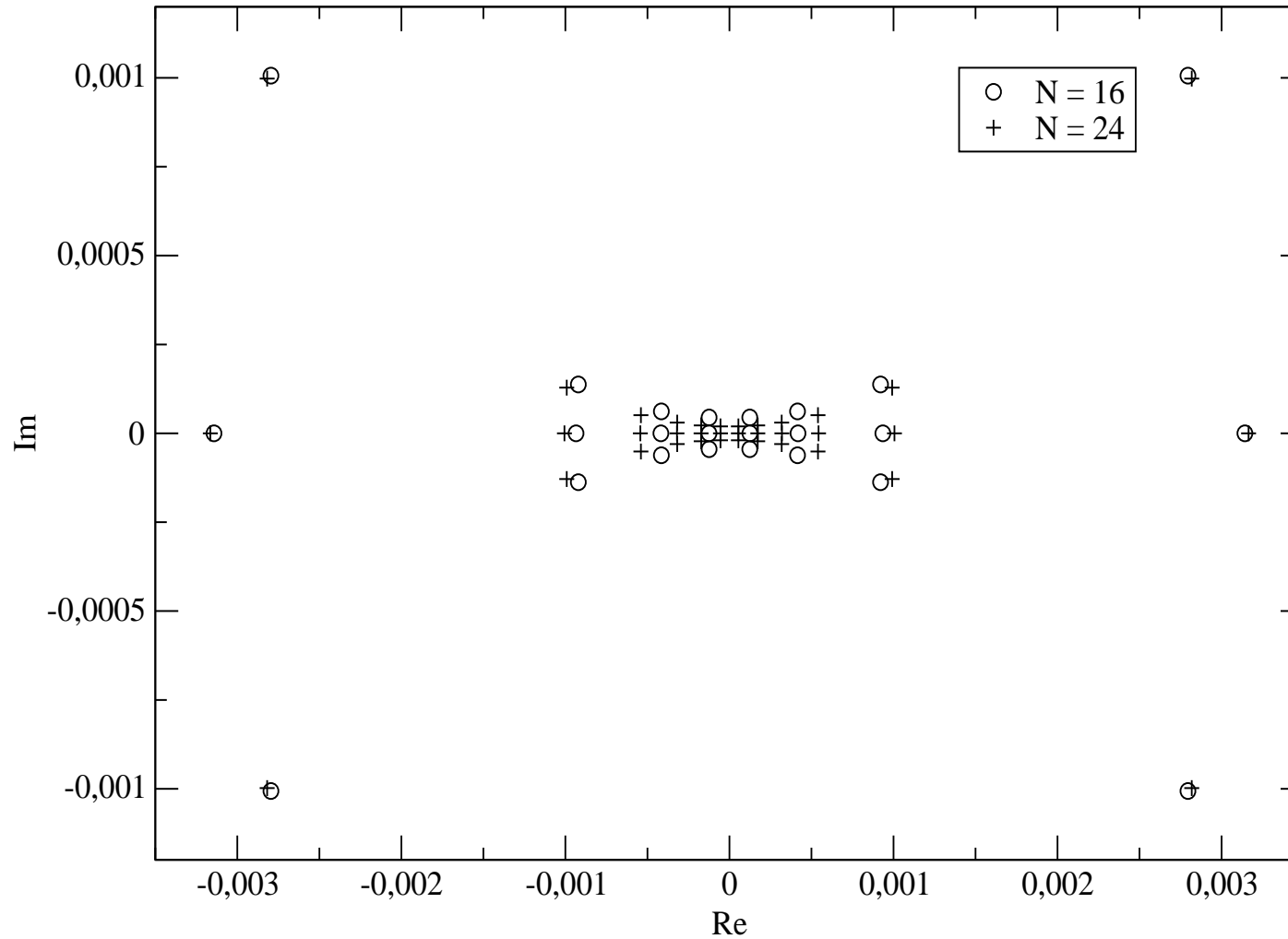
$$\lambda_q(v) = \frac{q_{q-1}(v - i\epsilon_q)}{q_{q-1}(v)} \phi_+(v + i\epsilon_q) \phi_-(v) e^{\beta\mu_q}$$

$$\left[ \begin{array}{l} q_j(v) := \prod_{k_j=1}^{M_j} (v - v_{k_j}^j) \quad ; \quad \phi_{\pm}(v) := (v \pm iu)^{N/2} \quad ; \quad q = r + s \end{array} \right]$$

- Pole free condition of the eigenvalue leads to *Bethe ansatz equations*:

$$\frac{\lambda_j(v_{k_j}^j)}{\lambda_{j+1}(v_{k_j}^j)} = -1 \quad \text{for all } 1 \leq j \leq q-1; 1 \leq k_j \leq M_j$$

# Bethe-Ansatz roots for $sl(4)$ at $\beta = 0.01$



# Motivation for the use of fusion hierarchy

- Bethe ansatz roots can not be treated by defining root densities in the limit  $N$  to infinity, as the distribution of roots remains discrete with a cluster point in the centre of the complex plane.
- A different approach is needed. Therefore one additionally defines a whole set of generalised transfer matrices, so called “fused transfer matrices”, where the representation, which builds the basis of the auxiliary space, is replaced by a higher dimensional representation of the same algebra.
- Fused transfer matrices still commute with each other by use of Yang-Baxter equation.
- Functional relations among fused transfer matrices help in the derivation of eigenvalues for the normal quantum transfer matrix.
- Relations have a deep mathematical origin, an exact sequence of Yangian modules (Kuniba, Nakanishi & Suzuki, 93).

# Fusion rules for $sl(r|s)$ symmetric models

- Introduce transfer matrices for fused Boltzmann weights, where representation is characterised by a rectangular Young tableau:

$$T_m^{(a)}(x) \quad \text{for the tableau} \quad \underbrace{\left. \begin{array}{|c|c|c|c|c|} \hline & & & & \\ \hline & & & & \\ \hline & & & & \\ \hline \end{array} \right\} a}_m$$

- Functional relation between transfer matrices of fusion type ( $T$ -system):

$$T_m^{(a)}\left(x - \frac{i}{2}\right) T_m^{(a)}\left(x + \frac{i}{2}\right) = T_m^{(a-1)}(x) T_m^{(a+1)}(x) + T_{m-1}^{(a)}(x) T_{m+1}^{(a)}(x)$$

$$\Rightarrow \Lambda_m^{(a)}\left(x - \frac{i}{2}\right) \Lambda_m^{(a)}\left(x + \frac{i}{2}\right) = \Lambda_m^{(a-1)}(x) \Lambda_m^{(a+1)}(x) + \Lambda_{m-1}^{(a)}(x) \Lambda_{m+1}^{(a)}(x)$$

$$\Lambda_0^{(a)}(x) = \Lambda_m^{(0)}(x) = 1 \quad ; \quad \Lambda_m^{(a)}(x) = 0 \quad \text{for } a > r \text{ and } m > s$$

- The quantum transfer matrix is a special case, where  $a = m = 1$ :

$$\mathcal{T}^{\text{QTM}}(x) = T_1^{(1)}(x)$$

# Fusion rules for $sl(r|s)$ symmetric models

- Compact notation, "Yangian analogue of Young tableaux":

$$\boxed{n} := \lambda_n(v) \quad \Rightarrow \quad \Lambda_1^{(1)}(x) = \sum_{n=1}^{r+s} \boxed{n} \Big|_{v=x}$$

- For general Young tableaux, we have:

$$\Lambda_m^{(a)}(x) = \sum_{\{n_{j,k}\}} \prod_{j=1}^a \prod_{k=1}^m \boxed{n_{j,k}} \Big|_{v=x+i(j-a/2)-i(k-m/2)}$$

$$n_{j-1,k} \prec n_{j,k} \quad ; \quad n_{j,k-1} \preceq n_{j,k} \quad \text{if } n_{j,k} \text{ has positive grading}$$

$$n_{j-1,k} \preceq n_{j,k} \quad ; \quad n_{j,k-1} \prec n_{j,k} \quad \text{if } n_{j,k} \text{ has negative grading}$$

- Example for  $sl(3)$  case;  $a = 2, m = 1$ :

$$\Lambda_1^{(2)}(x) = \boxed{\frac{1}{2}} + \boxed{\frac{1}{3}} + \boxed{\frac{2}{3}} = \lambda_1\left(x - \frac{i}{2}\right)\lambda_2\left(x + \frac{i}{2}\right) + \lambda_1\left(x - \frac{i}{2}\right)\lambda_3\left(x + \frac{i}{2}\right) + \lambda_2\left(x - \frac{i}{2}\right)\lambda_3\left(x + \frac{i}{2}\right)$$

## Fusion rules for $sl(r|s)$ symmetric models

- Functional relations between eigenvalues lead to  $Y$ -system:

$$y_m^{(a)}(x) := \frac{\Lambda_{m-1}^{(a)}(x)\Lambda_{m+1}^{(a)}(x)}{\Lambda_m^{(a-1)}(x)\Lambda_m^{(a+1)}(x)}$$
$$Y_m^{(a)}(x) := \frac{\Lambda_m^{(a)}\left(x - \frac{i}{2}\right)\Lambda_m^{(a)}\left(x + \frac{i}{2}\right)}{\Lambda_m^{(a-1)}(x)\Lambda_m^{(a+1)}(x)} = y_m^{(a)}(x) + 1$$

- With functional relations:

$$\frac{y_m^{(a)}\left(x - \frac{i}{2}\right)y_m^{(a)}\left(x + \frac{i}{2}\right)}{y_m^{(a-1)}(x)y_m^{(a+1)}(x)} = \frac{Y_{m-1}^{(a)}(x)Y_{m+1}^{(a)}(x)}{Y_m^{(a-1)}(x)Y_m^{(a+1)}(x)}$$

- In a concrete case, not all functions  $y_m^{(a)}(x)$  can be defined and some are zero. Therefore the functional relations of the  $Y$ -system will differ slightly.
- By use of fourier transformation, one can obtain an infinite set of nonlinear integral equations from  $Y$ -system (equivalent to TBA equations).

## $sl(2)$ case: exact truncation of the $Y$ -system

- Functional relation for the eigenvalues:

$$\tilde{\Lambda}_m^{(1)}\left(x - \frac{i}{2}\right) \tilde{\Lambda}_m^{(1)}\left(x + \frac{i}{2}\right) = \tilde{\Lambda}_m^{(0)}(x) \tilde{\Lambda}_m^{(2)}(x) + \tilde{\Lambda}_{m-1}^{(1)}(x) \tilde{\Lambda}_{m+1}^{(1)}(x)$$

$$\tilde{\Lambda}_m^{(0)}(x) \tilde{\Lambda}_m^{(2)}(x) = \phi_-\left(x + \frac{m}{2}i\right) \phi_+\left(x - \frac{m}{2}i\right) \phi_-\left(x - \frac{m+2}{2}i\right) \phi_+\left(x + \frac{m+2}{2}i\right)$$

- This gives the  $Y$ -system:

$$y_m^{(1)}\left(x - \frac{i}{2}\right) y_m^{(1)}\left(x + \frac{i}{2}\right) = Y_{m-1}^{(1)}(x) Y_{m+1}^{(1)}(x)$$

- Exact truncation with suitable auxiliary functions at any level  $m$ :

$$Y_m^{(1)}(x) = B_m^{(1)}(x) \bar{B}_m^{(1)}(x)$$

$$B_m^{(1)}(x) = b_m^{(1)}(x) + 1 \quad ; \quad \bar{B}_m^{(1)}(x) = \bar{b}_m^{(1)}(x) + 1$$

$$b_m^{(1)}\left(x - \frac{i}{2}\right) \bar{b}_m^{(1)}\left(x + \frac{i}{2}\right) = Y_{m-1}^{(1)}(x)$$



## $sl(2)$ case: exact truncation of the $Y$ -system

- Auxiliary functions for  $m = 1, 2, 3, 4$ :

$$b_1^{(1)}(x) = \frac{\boxed{1}}{\boxed{2}} \Big|_{v=x+\frac{i}{2}} ; \quad \bar{b}_1^{(1)}(x) = \frac{\boxed{2}}{\boxed{1}} \Big|_{v=x-\frac{i}{2}}$$

$$b_2^{(1)}(x) = \frac{\boxed{1\ 1} + \boxed{1\ 2}}{\boxed{2\ 2}} \Big|_{v=x+\frac{i}{2}} ; \quad \bar{b}_2^{(1)}(x) = \frac{\boxed{1\ 2} + \boxed{2\ 2}}{\boxed{1\ 1}} \Big|_{v=x-\frac{i}{2}}$$

$$b_3^{(1)}(x) = \frac{\boxed{1\ 1\ 1} + \boxed{1\ 1\ 2} + \boxed{1\ 2\ 2}}{\boxed{2\ 2\ 2}} \Big|_{v=x+\frac{i}{2}} ;$$

$$\bar{b}_3^{(1)}(x) = \frac{\boxed{1\ 1\ 2} + \boxed{1\ 2\ 2} + \boxed{2\ 2\ 2}}{\boxed{1\ 1\ 1}} \Big|_{v=x-\frac{i}{2}}$$

$$b_4^{(1)}(x) = \frac{\boxed{1\ 1\ 1\ 1} + \boxed{1\ 1\ 1\ 2} + \boxed{1\ 1\ 2\ 2} + \boxed{1\ 2\ 2\ 2}}{\boxed{2\ 2\ 2\ 2}} \Big|_{v=x+\frac{i}{2}} ; \quad \dots$$

## $sl(2)$ case: exact truncation of the $Y$ -system

- Auxiliary functions factorise using the Bethe ansatz equations:

$$b_m^{(1)}(x) = \frac{q\left(x + \frac{m+2}{2}i\right) \tilde{\Lambda}_{m-1}^{(1)}(x)}{\phi_-\left(x + \frac{m}{2}i\right) \phi_+\left(x + \frac{m+2}{2}i\right) q\left(x - \frac{m}{2}i\right)} ;$$

$$\bar{b}_m^{(1)}(x) = \frac{q\left(x - \frac{m+2}{2}i\right) \tilde{\Lambda}_{m-1}^{(1)}(x)}{\phi_+\left(x - \frac{m}{2}i\right) \phi_-\left(x - \frac{m+2}{2}i\right) q\left(x + \frac{m}{2}i\right)}$$

$$B_m^{(1)}(x) = \frac{q\left(x + \frac{m}{2}i\right) \tilde{\Lambda}_m^{(1)}\left(x + \frac{i}{2}\right)}{\phi_-\left(x + \frac{m}{2}i\right) \phi_+\left(x + \frac{m+2}{2}i\right) q\left(x - \frac{m}{2}i\right)} ;$$

$$\bar{B}_m^{(1)}(x) = \frac{q\left(x - \frac{m}{2}i\right) \tilde{\Lambda}_m^{(1)}\left(x - \frac{i}{2}\right)}{\phi_+\left(x - \frac{m}{2}i\right) \phi_-\left(x - \frac{m+2}{2}i\right) q\left(x + \frac{m}{2}i\right)}$$

- Next step: Apply fourier transform to the logarithmic derivative of the auxiliary functions  $y_1^{(1)}, \dots, y_{m-1}^{(1)}, b_m^{(1)}, \bar{b}_m^{(1)}$  and  $Y_1^{(1)}, \dots, Y_{m-1}^{(1)}, B_m^{(1)}, \bar{B}_m^{(1)}$ .

⇒ Unknown functions  $\tilde{\Lambda}_1^{(1)}, \dots, \tilde{\Lambda}_m^{(1)}, q$  can be eliminated.

## $sl(2)$ case: nonlinear integral equations

- Example: Truncation at level  $m = 1$ .
- Fourier transform of logarithmic derivative:

$$\widehat{f}(k) = \int_{-\infty}^{\infty} \frac{d}{dx} [\ln f(x)] e^{-ikx} \frac{dx}{2\pi}$$

- Results for  $k < 0$ :

$$\widehat{b}_1^{(1)}(k) = e^{k/2} \widehat{\phi}_-(k) - e^{k/2} \widehat{q}(k)$$

$$\widehat{\bar{b}}_1^{(1)}(k) = e^{k/2} \widehat{\phi}_-(k) + e^{3k/2} \widehat{q}(k) - e^{3k/2} \widehat{\phi}_-(k) - e^{k/2} \widehat{\phi}_+(k)$$

$$\widehat{B}_1^{(1)}(k) = e^{-k/2} \widehat{\Lambda}_1^{(1)}(k) - e^{k/2} \widehat{q}(k)$$

$$\widehat{\bar{B}}_1^{(1)}(k) = e^{k/2} \widehat{\Lambda}_1^{(1)}(k) + e^{k/2} \widehat{q}(k) - e^{3k/2} \widehat{\phi}_-(k) - e^{k/2} \widehat{\phi}_+(k)$$

(The case  $k > 0$  can be treated in the same way.)

## $sl(2)$ case: nonlinear integral equations

- Elimination of  $\widehat{\Lambda}_1^{(1)}(k)$  and  $\widehat{q}(k)$  gives:

$$\widehat{b}_1^{(1)}(k) = \frac{iN \sinh(ku)}{2 \cosh(k/2)} + \frac{e^{-|k|/2}}{e^{-k/2} + e^{k/2}} \widehat{B}_1^{(1)}(k) - \frac{e^{-k-|k|/2}}{e^{-k/2} + e^{k/2}} \widehat{\overline{B}}_1^{(1)}(k)$$

$$\widehat{\overline{b}}_1^{(1)}(k) = \frac{iN \sinh(ku)}{2 \cosh(k/2)} - \frac{e^{k-|k|/2}}{e^{-k/2} + e^{k/2}} \widehat{B}_1^{(1)}(k) + \frac{e^{-|k|/2}}{e^{-k/2} + e^{k/2}} \widehat{\overline{B}}_1^{(1)}(k)$$

- Taking the limit  $N \rightarrow \infty$  and applying the inverse fourier transformation:

$$\ln b_1^{(1)}(x) = -\beta \left( V(x) + \frac{\mu_2 - \mu_1}{2} \right) + K * \ln B_1^{(1)}(x) - K * \ln \overline{B}_1^{(1)}(x + i)$$

$$\ln \overline{b}_1^{(1)}(x) = -\beta \left( V(x) + \frac{\mu_1 - \mu_2}{2} \right) - K * \ln B_1^{(1)}(x - i) + K * \ln \overline{B}_1^{(1)}(x)$$

$$\text{where } V(x) = \frac{\pi}{\cosh(\pi x)} \quad ; \quad K(x) = \int_{-\infty}^{\infty} \frac{e^{-|k|/2}}{e^{-k/2} + e^{k/2}} e^{ikx} dk$$

$$\ln \Lambda_1^{(1)}(0) = -\beta \left( 1 - 2 \ln 2 - \frac{\mu_1 + \mu_2}{2} \right) + V * \ln B_1^{(1)}(0) + V * \ln \overline{B}_1^{(1)}(0)$$

# $sl(2)$ case: nonlinear integral equations

• General case (Suzuki, 99):

$$\begin{pmatrix} \ln y_1^{(1)}(x) \\ \ln y_2^{(1)}(x) \\ \vdots \\ \ln y_{m-1}^{(1)}(x) \\ \ln b_m^{(1)}(x) \\ \ln \bar{b}_m^{(1)}(x) \end{pmatrix} = \begin{pmatrix} -\beta V(x) \\ 0 \\ \vdots \\ 0 \\ -\beta \frac{\mu_2 - \mu_1}{2} \\ -\beta \frac{\mu_1 - \mu_2}{2} \end{pmatrix} + \begin{pmatrix} 0 & V(x) & 0 & \cdots & 0 & 0 & 0 & 0 \\ V(x) & 0 & V(x) & \cdots & 0 & 0 & 0 & 0 \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & V(x) & 0 & V(x) & V(x) \\ 0 & 0 & 0 & \cdots & 0 & V(x) & K(x) & -K(x+i) \\ 0 & 0 & 0 & \cdots & 0 & V(x) & -K(x-i) & K(x) \end{pmatrix} * \begin{pmatrix} \ln Y_1^{(1)}(x) \\ \ln Y_2^{(1)}(x) \\ \vdots \\ \ln Y_{m-1}^{(1)}(x) \\ \ln B_m^{(1)}(x) \\ \ln \bar{B}_m^{(1)}(x) \end{pmatrix}$$

# Nonlinear integral equations in higher rank models

General strategy for higher rank models:

- Write down the functional relation of eigenvalues from the fusion rules ( $T$ -system).
- Derive the  $Y$ -system (from which TBA equations can be derived).
- Truncate the  $Y$ -system at a certain fusion level using suitable auxiliary functions.
- Derive a closed set of finitely many nonlinear integral equations by use of fourier transformation, eliminating all unknown functions.

Problem is, how to find suitable auxiliary functions:

- At the moment, some guessing is needed to get auxiliary functions leading to a closed set of nonlinear integral equations.
- Functional relations among auxiliary functions help in this process, but the exact origin of these relations is not well understood.

## Higher rank models: $sl(3)$ case

- Functional relations from  $T$ -system:

$$\tilde{\Lambda}_m^{(1)}\left(x - \frac{i}{2}\right) \tilde{\Lambda}_m^{(1)}\left(x + \frac{i}{2}\right) = \tilde{\Lambda}_{m-1}^{(1)}(x) \tilde{\Lambda}_{m+1}^{(1)}(x) + \tilde{\Lambda}_m^{(0)}(x) \tilde{\Lambda}_m^{(2)}(x)$$

$$\tilde{\Lambda}_m^{(2)}\left(x - \frac{i}{2}\right) \tilde{\Lambda}_m^{(2)}\left(x + \frac{i}{2}\right) = \tilde{\Lambda}_{m-1}^{(2)}(x) \tilde{\Lambda}_{m+1}^{(2)}(x) + \tilde{\Lambda}_m^{(1)}(x) \tilde{\Lambda}_m^{(3)}(x)$$

- Rewritten as  $Y$ -system:

$$\frac{y_m^{(1)}\left(x - \frac{i}{2}\right) y_m^{(1)}\left(x + \frac{i}{2}\right)}{y_m^{(2)}(x)} = \frac{Y_{m-1}^{(1)}(x) Y_{m+1}^{(1)}(x)}{Y_m^{(2)}(x)}$$

$$\frac{y_m^{(2)}\left(x - \frac{i}{2}\right) y_m^{(2)}\left(x + \frac{i}{2}\right)}{y_m^{(1)}(x)} = \frac{Y_{m-1}^{(2)}(x) Y_{m+1}^{(2)}(x)}{Y_m^{(1)}(x)}$$

- Exact truncation at any level  $m$ :

$$Y_m^{(1)}(x) = B_m^{(1)}(x) \overline{B}_m^{(1)}(x) C_m^{(1)}(x)$$

$$Y_m^{(2)}(x) = B_m^{(2)}(x) \overline{B}_m^{(2)}(x) C_m^{(2)}(x)$$

# Higher rank models: $sl(3)$ case

• Additional relations:

$$\frac{b_m^{(1)} \left(x - \frac{i}{2}\right) \bar{b}_m^{(1)} \left(x + \frac{i}{2}\right)}{c_m^{(2)}(x)} = \frac{Y_{m-1}^{(1)}(x)}{C_m^{(2)}(x)}$$

$$\frac{b_m^{(2)} \left(x - \frac{i}{2}\right) \bar{b}_m^{(2)} \left(x + \frac{i}{2}\right)}{c_m^{(1)}(x)} = \frac{Y_{m-1}^{(2)}(x)}{C_m^{(1)}(x)}$$

• Auxiliary functions for  $m = 1$  (Fujii & Klümper, 99):

$$b_1^{(1)} = \frac{\boxed{1}}{\boxed{2} + \boxed{3}} \Big|_{x+i/2} \quad \bar{b}_1^{(1)} = \frac{\boxed{3}}{\boxed{1} + \boxed{2}} \Big|_{x-i/2} \quad c_1^{(1)} = \frac{\boxed{1} \boxed{2}}{\boxed{2} \boxed{3}} \Big|_{\frac{\boxed{1}}{\boxed{3}} \left( \frac{\boxed{1}}{\boxed{2}} + \frac{\boxed{1}}{\boxed{3}} + \frac{\boxed{2}}{\boxed{3}} \right)} \Big|_x$$

$$b_1^{(2)} = \frac{\boxed{1} \boxed{2}}{\boxed{1} \boxed{3} + \boxed{2} \boxed{3}} \Big|_{x+i/2} \quad \bar{b}_1^{(2)} = \frac{\boxed{2} \boxed{3}}{\boxed{1} \boxed{2} + \boxed{1} \boxed{3}} \Big|_{x-i/2} \quad c_1^{(2)} = \frac{\boxed{1} \boxed{3}}{\boxed{2} \left( \boxed{1} + \boxed{2} + \boxed{3} \right)} \Big|_x$$



## Higher rank models: $sl(3)$ case

- Generalisation is possible, analog to  $sl(2)$  case:

$$b_2^{(1)} = \frac{\boxed{1\ 1} + \boxed{1\ 2} + \boxed{1\ 3}}{\boxed{2\ 2} + \boxed{2\ 3} + \boxed{3\ 3}} \Big|_{x+i/2} \quad \bar{b}_2^{(1)} = \frac{\boxed{1\ 3} + \boxed{2\ 3} + \boxed{3\ 3}}{\boxed{1\ 1} + \boxed{1\ 2} + \boxed{2\ 2}} \Big|_{x-i/2} \quad \dots$$

- Auxiliary functions for truncation at any fusion level  $m$ :

$$b_m^{(1)}(x) = \frac{q_1 \left(x + \frac{m+2}{2}i\right) \tilde{\Lambda}_{m-1}^{(1)}(x)}{\phi_- \left(x + \frac{m}{2}i\right) X_{2,m} \left(x + \frac{i}{2}\right)} \quad ; \quad \bar{b}_m^{(1)}(x) = \left(b_m^{(1)}(x)\right)^*$$

$$b_m^{(2)}(x) = \frac{q_2 \left(x + \frac{m+3}{2}i\right) \tilde{\Lambda}_{m-1}^{(2)}(x)}{\phi_+ \left(x + \frac{m+3}{2}i\right) X_{1,m}(x)} \quad ; \quad \bar{b}_m^{(2)}(x) = \left(b_m^{(2)}(x)\right)^*$$

$$c_m^{(1)}(x) = \frac{q_1 \left(x - \frac{m+2}{2}i\right) q_2 \left(x + \frac{m+2}{2}i\right) \tilde{\Lambda}_{m-1}^{(1)}(x)}{q_1 \left(x + \frac{m}{2}i\right) q_2 \left(x - \frac{m}{2}i\right) \tilde{\Lambda}_m^{(2)}(x)}$$

$$c_m^{(2)}(x) = \frac{q_1 \left(x + \frac{m+1}{2}i\right) q_2 \left(x - \frac{m+1}{2}i\right) \tilde{\Lambda}_{m-1}^{(2)}(x)}{q_1 \left(x - \frac{m+1}{2}i\right) q_2 \left(x + \frac{m+1}{2}i\right) \tilde{\Lambda}_m^{(1)}(x)}$$

## Higher rank models: $sl(3)$ case

- Same calculation as in  $sl(2)$  case then yields:

$$\mathbf{y}(x) = -\beta \mathbf{d}(x) + \underline{\mathbf{K}} * \mathbf{Y}(x)$$

where:

$$\mathbf{y} = \left( \ln y_1^{(1)}, \ln y_2^{(1)}, \ln y_2^{(1)}, \dots, \ln y_{m-1}^{(2)}, \ln b_m^{(1)}, \dots, \ln c_m^{(2)} \right)^T$$

$$\mathbf{Y} = \left( \ln Y_1^{(1)}, \ln Y_2^{(1)}, \ln Y_2^{(1)}, \dots, \ln Y_{m-1}^{(2)}, \ln B_m^{(1)}, \dots, \ln C_m^{(2)} \right)^T$$

$$\mathbf{d}(x) = \left( V_1(x), V_2(x), 0, \dots, 0, a_b^{(1)}, a_{\bar{b}}^{(1)}, a_c^{(1)}, a_b^{(2)}, a_{\bar{b}}^{(2)}, a_c^{(2)} \right)^T$$

The kernel matrix  $\underline{\mathbf{K}}$  is hermitian and has a regular block diagonal structure for the functions  $\ln y_m^{(a)}(x)$  which is truncated in the lower right corner by a  $6 \times 6$  block for the auxiliary functions  $\ln b_m^{(1)}(x), \dots, \ln c_m^{(2)}(x)$ . This last block of the matrix is furthermore made up of two different  $3 \times 3$  blocks.

## Higher rank models: $sl(4)$ case

- *Present work:* Auxiliary functions now also known for  $sl(4)$  case.
- Derivation of nonlinear integral equations is completely analogous to the cases which have already been shown.
- It seems, that at least 14 functions are needed, which can be divided into two sets consisting of 4 functions and one set consisting of 6 functions.

$$Y_1^{(1)}(x) = B_1^{(1)}(x)\overline{B}_1^{(1)}(x)C_1^{(1)}(x)D_1^{(1)}(x)$$

$$Y_1^{(2)}(x) = B_1^{(2)}(x)\overline{B}_1^{(2)}(x)C_1^{(2)}(x)\overline{C}_1^{(2)}(x)D_1^{(2)}(x)E_1^{(1)}(x)$$

$$Y_1^{(3)}(x) = B_1^{(3)}(x)\overline{B}_1^{(3)}(x)C_1^{(3)}(x)D_1^{(3)}(x)$$

- *Conjecture:* Derivation can also be carried out for  $sl(r)$ . Number of auxiliary functions needed to truncate the  $Y$ -system is related to the dimension of the fundamental representations of the algebra.

(e.g.  $sl(2)$ : 2;  $sl(3)$ : 3,3;  $sl(4)$ : 4,6,4;  $sl(5)$ : 5,10,10,5; ...)

## Higher rank models: $sl(2|1)$ and $sl(2|2)$ cases

- Program can also be executed for  $sl(2|1)$  and  $sl(2|2)$ .  $Y$ -system is more complicated, but for both models the structure is similar to the  $sl(2)$  case. This becomes obvious, if truncation is done at a fusion level greater than 1.
- Nevertheless fewer auxiliary functions are needed as for  $sl(r)$  case of the same rank. For example one needs at least 3 functions for  $sl(2|1)$ , while 6 functions are needed for  $sl(3)$ .
- For the truncation at fusion level  $m = 1$ , the auxiliary functions for  $sl(2|1)$  reduce to the known functions for the  $t$ - $J$ -model (Jüttner & Klümper, 97):

$$b_1^{(1)} = \frac{\boxed{1}}{\boxed{2} + \boxed{3}} \Big|_{x+i/2} \quad \bar{b}_1^{(1)} = \frac{\boxed{3}}{\boxed{1} + \boxed{2}} \Big|_{x-i/2} \quad y_1^{(2)} = \frac{\boxed{1}\boxed{3}}{\boxed{2}(\boxed{1} + \boxed{2} + \boxed{3})} \Big|_x$$

- Nonlinear integral equations for  $sl(2|2)$  case (EKS model) are currently under investigation.

## Conclusion and outlook

- Quantum transfer matrix approach to the Uimin-Sutherland model
- Fusion hierarchy leads to functional relations ( $T$ - and  $Y$ -system)
- Derivation of finite set of nonlinear integral equations for several models of Uimin-Sutherland type, now including  $sl(4)$  and  $sl(2|2)$  case.  
Generalisation for  $sl(3)$  and  $sl(2|1)$  to higher fusion levels

Open questions remain (present work):

- Generalisation for  $sl(r)$  case: How to get the right auxiliary functions?  
Connection to the dimension of fundamental representations?
- Origin of additional functional relations between auxiliary functions.  
Is there a faster way to get the nonlinear integral equations?
- Numerical evaluation of NLIE for  $sl(4)$  and  $sl(2|2)$  case
- Connection to NLIE of Takahashi type, which have already been generalised to the  $sl(r)$  case (Tsuboi, 03)